

2008 APR 18 PM 6:24

JFW

PTO/SB/21 (10-07)

Approved for use through 10/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/673,305

Filing Date

March 19, 2001

First Named Inventor

Roger Read

Art Unit

1625

Examiner Name

Celia Chang

Attorney Docket Number

057084-5014

RECEIVED**APR 23 2008****OFFICE OF PETITIONS****ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

1. Notification of Change of Entity Status
2. Petition For Payment of Fee Deficiency

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Morgan Lewis & Bockius LLP

Signature

Printed name

Sally P. Teng

Date

April 17, 2008

Reg. No.

45,397

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

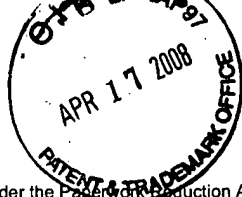
Signature

Typed or printed name

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



2008 APR 18 PM 6:21

PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2008☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 740.00

Complete if Known

Application Number	09/673,305
Filing Date	March 19, 2001
First Named Inventor	Roger Read
Examiner Name	Celia Chang
Art Unit	1625
Attorney Docket No.	057084-5014

RECEIVED

APR 23 2008

OFFICE OF PETITIONS**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 50-0310 Deposit Account Name: Morgan Lewis & Bockius

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)

Small Entity Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

210 105

Multiple dependent claims

370 185

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)****Multiple Dependent Claims**

- 20 or HP = x =

Fee (\$) **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Issue Fee Deficiency (change of entity status)

740

SUBMITTED BY

Signature

Registration No.
(Attorney/Agent) 45,397

Telephone (202) 739-5734

Name (Print/Type)

Sally P. Teng

Date April 17, 2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



DIVISION
2008 APR 18 PM 6:21

PATENT
Attorney Docket 057084-5014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: **Roger Read *et al.***)
)
U.S. Patent No. **7,064,220**)
)
Issued: **June 20, 2006**)
)
Application No. **09/673,305**)
)
Filed: **March 19, 2001**)
)
For: **PRODUCTION OF FURANONES**)

RECEIVED
APR 23 2008
OFFICE OF PETITIONS

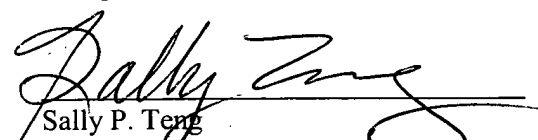
NOTIFICATION OF CHANGE OF ENTITY STATUS

Applicants hereby notify the U.S. Patent Office that the above-referenced U.S. Patent is no longer entitled to small entity status.

If any additional fees are due with the filing of this Notification, the Commissioner is hereby authorized by this paper to charge such fees to Deposit Account 50-0310.

Dated: **April 17, 2008**
Morgan, Lewis & Bockius LLP
Customer No. **09629**
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202-739-3000

Respectfully submitted,
Morgan, Lewis & Bockius LLP


Sally P. Teng
Registration No. 45,397



2008 APR 18 PM 6:21

PATENT
Attorney Docket 057084-5014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: **Roger Read et al.**

U.S. Patent No. 7,064,220

Issued: **June 20, 2006**

Application No. 09/673,305

Filed: **March 19, 2001**

For: **PRODUCTION OF FURANONES**

RECEIVED

APR 23 2008

OFFICE OF PETITIONS

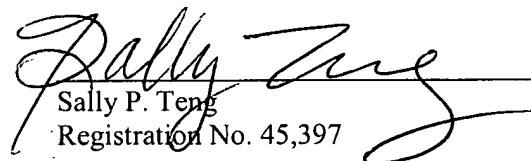
PETITION FOR PAYMENT OF FEE DEFICIENCY UNDER 37 C.F.R. 1.28(c)

This is a Petition under 37 C.F.R. 1.28(c) for payment of a fee deficiency in the above-identified application because this application no longer qualifies for small entity status.

The Commissioner is hereby authorized in the accompanying transmittal form to charge **\$740.00** to Deposit Account 50-0310 for payment of the difference in the issue fee paid on May 1, 2006 (\$700.00) and large entity issue fee as specified by 1.18(a) (\$1,440.00). If there are any additional fees due in connection with the filing of this paper, please charge the fees to our Deposit Account No. 50-310.

Dated: **April 17, 2008**
Morgan, Lewis & Bockius LLP
Customer No. **09629**
1111 Pennsylvania Avenue, N.W.
Washington, D.C. 20004
202-739-3000

Respectfully submitted
Morgan, Lewis & Bockius LLP


Sally P. Teng
Registration No. 45,397

04/22/2008 DALLEN 00000014 500310 09673305
01 FC:1461 740.00 DA